

4
BT
18-02

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))	Docket No. 03-18-02 1351829-0015
---	-------------------------------------

In Re Application Of: **PERLA, Jesse et al.**

Serial No. 09/837,627	Filing Date January 31, 2000	Examiner	Group Art Unit 2152
--------------------------	---------------------------------	----------	------------------------

OVERSIGHT CITY
FEB 06 2002
OFFICE
INVENT & TRADEMARK OFFICE

RECEIVED
FEB 08 2002
Technology Center 2100

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
1351829-0015

In Re Application: PERLA, Jesse et al.

Serial No.
09/837,627

Filing Date
January 31, 2000

Examiner

Group Art Unit

RECEIVED

FEB 08 2002

Technology Center 2100

METHOD AND SYSTEM FOR BUILDING INTERNET-BASED APPLICATIONS

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. _____ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account

Signature

Dated: _____

Feb. 1 / 2002

PILLAY, Kevin - Regn No. 41,559
FASKEN MARTINEAU DuMOULIN LLP
Toronto Dominion Bank Tower
Suite 4200, P.O. Box 20
Toronto-Dominion Centre
Toronto, Ontario M5K 1N6 Canada
Telephone No. 416 868 3485
Facsimile No. 416 364 7813

CC:

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
1351829-0015

In Re Application Of: PERLA, Jesse et al.

Serial No.
09/837,627

Filing Date
January 31, 2000

Examiner

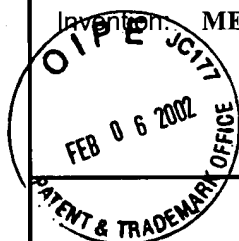
Group Art Unit
2152

Invention: METHOD AND SYSTEM FOR BUILDING INTERNET-BASED APPLICATIONS

RECEIVED

FEB 08 2002

Technology Center 2100



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

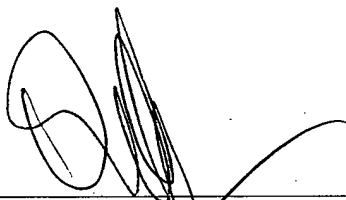
Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☐ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☒ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.


Signature

Dated:

Feb. 1 / 2002

PILLAY, Kevin - Regn No. 41,559
FASKEN MARTINEAU DuMOULIN LLP
Toronto Dominion Bank Tower
Suite 4200, P.O. Box 20
Toronto-Dominion Centre
Toronto, Ontario M5K 1N6 Canada
Telephone N . 416 868 3485
Facsimile No. 416 364 7813

Certificate of Mailing by First Class Mail

I certify that this document is being deposited on
with the U.S. Postal Service as first
class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.

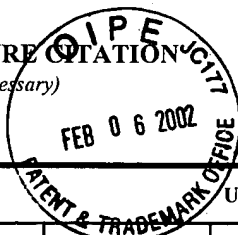
Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

CC:

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)

1351829-0015

Application Number

1351829-0015

Applicant(s)

PERLA, Jesse et al.

Filing Date

January 31, 2002

Group Art Unit

2152

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,052,711	04/18/00	Gish			
		6,011,546	01/04/00	Bertram			
		6,138,158	10/24/00	Boyle et al.			
		6,134,450	10/17/00	Nordeman			
		6,125,388	09/26/00	Reisman			
		6,125,384	09/26/00	Brandt et al.			
		6,049,819	04/11/00	Buckle et al.			
		6,031,989	02/29/00	Cordell			
		6,023,714	02/08/00	Hill et al.			
		5,983,267	11/09/99	Shklar et al.			
		5,966,451	10/12/99	Utsumi			

RECEIVED
FEB 08 2002
Technology Center 2100

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		0 965 914	12/22/99	EP				

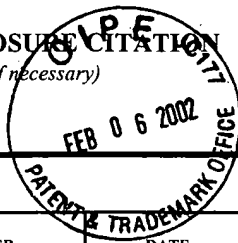
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)



Docket Number (Optional) 1351829-0015	Application Number 09/837,627
Applicant(s) PERLA, Jesse et al.	
Filing Date January 31, 2000	Group Art Unit 2152

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,963,952	10/05/99	Smith			RECEIVED FEB 08 2002 Technology Center 2100
		5,928,323	07/27/99	Gosling et al.			
		5,911,485	06/15/99	Rossmann			
		5,828,840	10/27/98	Cowan et al.			
		5,784,583	07/21/98	Redpath			
		5,327,529	07/05/94	Fults et al.			
		6,012,098	01/04/00	Bayeh et al.			
		5,530,796	06/25/96	Wang			

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.